



## POSITION ANNOUNCEMENT

Security Management Resources, Inc.® an international executive search firm whose global practice is focused exclusively on professional and executive level corporate security positions, is seeking candidates for the following opportunity.

**TITLE:** Anti-Money Laundering (AML) Advisor  
**LOCATION:** Boston, MA  
**SALARY:** Open, with bonus  
**RELOCATION:** Will consider for fully qualified candidate  
**REPORT TO:** Deputy Anti-Money Laundering Officer

### SUMMARY

The Anti-Money Laundering (AML) Advisor provides oversight and guidance to all of the employers (one of the world's largest financial services providers) businesses on compliance with anti-money laundering ("AML") requirements under the Bank Secrecy Act, U.S. sanctions laws and regulations administered by the U.S. Department of the Treasury's Office of Foreign Assets Control ("OFAC") and Identity Theft Prevention Program (IDTPP) Requirements consistent with various regulations, including regulations issued by the Federal Trade Commission.

### RESPONSIBILITIES

- To help oversee the development, implementation, operation, maintenance and enhancement of required anti-money laundering policies, procedures, and controls across employers organization, with a particular focus on the following AML requirements ("Applicable Requirements"):
  - any international AML requirements applicable to the employer's U.S. financial institutions;
  - AML requirements applicable to the employer's non-U.S. financial institutions (where deemed within scope of duties), which may include investment advisors and other financial institutions that may not have AML requirements in the U.S.; and
- To support business initiatives and relationship management by providing day-to-day subject matter expertise and guidance on the Applicable Requirements to the employer's financial institutions; and
- To analyze complex AML regulatory questions and to make policy recommendations to the Deputy Anti-Money Laundering Officer and Chief Anti-Money Laundering and U.S. Sanctions Officer concerning such questions
- To undertake AML, IDTPP or other AMLO projects.

Security Management Resources, Inc.® is an international executive search firm with global niche market expertise focused exclusively on professional and executive level corporate security positions. SMR's principals and associates all have significant senior level management experience managing corporate security programs across a wide range of industries for some of the world's leading organizations.

# Security Management Resources, Inc. ®

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The Chief Anti-Money Officer and Deputy Anti-Money Laundering Officer will rely on the AML Advisor to make routine decisions to provide guidance and specify requirements that impact a broad range of new account, transaction processing, and risk monitoring initiatives and processes throughout a wide range of financial sectors, including asset management, securities brokerage, trust administration, insurance, charitable giving, etc. The AML Advisor will also need to analyze quickly and accurately specific instances of possible money laundering or terrorist financing and make sound recommendations, subject to close direction from the AML Officer and other colleagues, about actions steps to address such instances.

## **COMPETENCIES/ABILITIES**

Subject matter in non-U.S. AML statutes and regulations

Understanding of securities brokerage business

Familiarity with asset management business

Excellent written and oral communication skills

Ability to drive multiple, competing, complex initiatives to completion simultaneously

Excellent analytical skills and an ability to interpret regulatory requirements subtly, carefully, creatively, and prudently

The AML Advisor would preferably have familiarity with other financial services businesses such as banking and insurance.

## **Education and Experience**

Undergraduate degree + at least one of the following:

5 years Legal and/or Compliance experience within the financial services industry OR 3 years of full-time, dedicated AML experience

5 years experience at a financial services regulatory agency with broad-based jurisdiction OR 3 years of full-time, dedicated AML regulatory agency experience

J.D. or M.B.A. plus 3 years of relevant experience

## **RESUME SUBMITTAL**

Interested candidates should submit their resumes via the position posting on the SMR website at:  
<http://www.smrgroup.com/SMR-Jobs.htm>

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